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Carey v. Lovett: New Jersey's Unsound Expansion of Medical Malpractice Liability

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Carey v. Lovett: New Jersey's Unsound Expansion of Medical Malpractice Liability

I. Introduction

During this century, recovery for negligent infliction of emotional distress has developed through an evolutionary process that now encompasses five distinct rules. The earliest cases seeking recovery for negligent infliction of emotional distress were decided under the impact rule. This rule required the plaintiff to prove physical impact to himself, no matter how slight, in order to recover for resulting emotional distress. American courts adhered to this rule until the 1960s, when some jurisdictions adopted the "zone of danger" rule, allowing, for the first time, recovery to a "bystander" without his proving that he was injured from direct impact. In 1968, the California Supreme Court abandoned the zone of danger rule and used a "foreseeability test" in deciding Dillon v. Legg. The Dillon rule allowed a bystander to recover for reasonably foreseeable emo-
tional distress as long as certain criteria were met. In *Dillon*, the court held that in cases where a plaintiff suffered shock that resulted in physical injury, the courts should determine whether the defendant should have foreseen injury to the “by-
stander” plaintiff by considering the following factors:

(1) Whether plaintiff was located near the scene of the accident as contrasted with one who was a distance away from it. (2) Whether the shock resulted from a direct emotional impact upon plaintiff from the sensory and contemporaneous observance of the accident, as contrasted with learning of the accident from others after its occurrence. (3) Whether plaintiff and the victim [of the accident] were closely related, as contrasted with an absence of any relationship or the presence of only a distant relationship.9

Over the past twenty-five years, “like the pebble cast into the pond, *Dillon*’s progeny have created ever widening circles of liability.”10

Some courts, in continuing to expand liability for emotional distress, have used a “direct victim” rule, although not labelling it as such.12 Under this theory, plaintiffs have recovered, although they were not physically injured nor considered bystanders for recovery purposes; rather, these plaintiffs were considered the “direct victims”13 of the defendants’ acts.14

8. *Dillon*, 441 P.2d at 920.
9. *Id*.
11. *See infra* part II.D.
13. *See infra* part II.D. The latest definition of the direct victim rule given by the California Supreme Court states that a plaintiff seeking to recover for negligent infliction of emotional distress as a direct victim must prove the traditional elements of negligence—duty, breach, proximate cause, and damages. *Burgess v. Superior Court*, 831 P.2d 1197, 1200 (Cal. 1992). Differing from bystander cases where the defendant does not owe a specific duty of care to the plaintiff, direct victim cases involve defendants who breach a duty of care that is either owed to the plaintiff as a matter of law or that arises out of relationship between the two. *See id*.
14. *Giardina*, 545 A.2d at 143. In *Thing*, the court noted:

Post-*Dillon* decisions have now permitted plaintiffs who suffer emotional distress, but no resultant physical injury, and who were not at the scene of and thus did not witness the event that injured another, to recover damages on grounds that a duty was owed to them solely because it was foreseeable that they would suffer distress on learning of injury to a close relative. *Thing*, 771 P.2d at 819.
In 1993, the New Jersey Supreme Court reviewed *Carey v. Lovett*. This case dealt with the recovery of damages by a mother for emotional distress caused by medical malpractice. The alleged malpractice led to the birth and subsequent death of her severely brain damaged daughter. Prior cases dealing with emotional distress claims required direct impact or, for bystander recovery, "that claimants observe contemporaneously the act of malpractice and the resulting injury." The *Carey* court held that a mother may recover for emotional distress caused by the premature birth and death of her baby, without proving either physical injury to herself or contemporaneous observation, as long as she proved "that she suffered emotional distress so severe that it resulted in physical manifestations or that it destroyed her basic emotional security." Although "[t]he Court [did] not define or give examples of 'severe' emotional distress, [i]ts review of the facts suggests that psychiatric treatment, impairment of lifestyle and significant residuals would support a legitimate claim."

The *Carey* case is the focus of this Note because it represents a significant departure from prior New Jersey case law, substantially extending liability in medical malpractice cases for negligent infliction of emotional distress, but fails to establish workable guidelines for future court decisions. Part II of this Note reviews the evolution of recovery for negligent infliction of emotional distress in New Jersey. Part III presents the procedural history, substantive facts, and court decision in *Carey v. Lovett*. Part IV is an analysis of the court's decision. Part V discusses the larger social impact of the *Carey* decision.

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16. Id. at 1284. The father also brought suit for emotional distress, but the court denied him recovery because he lacked the same interconnectedness to the fetus as the mother and he did not contemporaneously observe the malpractice. Id. at 1287-88.
17. Id. at 1284.
19. *Carey*, 622 A.2d at 1286; see infra note 37 and cases cited therein.
21. Id. at 1288.
II. Background

A. Foundation Cases

In the early part of the twentieth century, state courts were reluctant to recognize an actionable interest in peace of mind, especially when the defendant's conduct was merely negligent.24 Three main concerns of the courts have been:

1. The problem of permitting legal redress for harm that is often temporary and relatively trivial; (2) the danger that claims of mental harm will be falsified or imagined; and (3) the perceived unfairness of imposing heavy and disproportionate financial burdens upon a defendant, whose conduct was only negligent, for consequences which appear remote from the "wrongful act."25

In Ward v. West Jersey & Seashore Railroad,26 the plaintiff sued to recover for physical illness resulting from apprehension of physical harm.27 The court, in denying relief, stated that "a person is responsible only for the natural and proximate results of his negligent act; [and] that physical suffering is not the probable or natural consequences of fright, in the case of a person of ordinary physical and mental vigor . . . ."28 Reflecting the underlying rationale in a majority of jurisdictions,29 the court was concerned that allowing recovery would result in a "flood of litigation in cases where the injury complained of may be easily feigned . . . and where the damages must rest upon mere conjecture and speculation."30 Consequently, until 1965, the law in

27. Id. at 561.
28. Id. at 562.
29. PROSSER ON TORTS, supra note 24, § 54, at 361.
30. Ward, 47 A. at 562. "Mental disturbance is easily simulated, and courts which are plagued with fraudulent personal injury claims may be unwilling to open the door to an even more dubious field." PROSSER ON TORTS, supra note 24, § 54, at 361. Objections to allowing recovery for negligent infliction of emotional distress have been predicated on the difficulty of measuring mental disturbance in monetary terms and the belief that a substantial increase in litigation would follow. Id. § 54, at 360. Therefore, "where the defendant's negligence causes only mental disturbance, without accompanying physical injury . . . [or] illness . . . , the
New Jersey allowed recovery for emotional distress only when accompanied by physical impact.\(^{31}\) This rule was overturned by the New Jersey Supreme Court in *Falzone v. Busch*.\(^{32}\) In *Falzone*, plaintiff's husband was struck and injured by the automobile of a negligent driver as he stood in a field adjoining a roadway.\(^{33}\) The plaintiff, who was sitting nearby in their parked car, sought to recover for illness resulting from fear for her safety caused by the defendant's negligence, although there was no physical impact.\(^{34}\) In overruling *Ward*, the *Falzone* court promulgated a new rule, stating:

[W]here negligence causes fright from a reasonable fear of immediate personal injury, which fright is adequately demonstrated to have resulted in substantial bodily injury or sickness, the injured person may recover if such bodily injury or sickness would be regarded as proper elements of damage had they occurred as a consequence of direct physical injury rather than fright. . . . [W]here fright does not cause substantial bodily injury or sickness, it is to be regarded as too lacking in seriousness and too speculative to warrant the imposition of liability.\(^{35}\)

This "zone of danger" rule,\(^{36}\) extended recovery for emotional distress to individuals who were within the zone of danger created by the defendant's negligence and were thereby threatened with physical injury themselves.\(^{37}\) The court, realizing that dif-

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\(^{32}\) 214 A.2d 12, 17 (N.J. 1965).
\(^{33}\) Id. at 13.
\(^{34}\) Id.
\(^{35}\) Id. at 17 (emphasis added).
\(^{36}\) See *Prosser on Torts*, supra note 24, § 54, at 365.
\(^{37}\) See, e.g., Diaz v. Drury, No. 525809, 1993 WL 407929 (Conn. Super. Ct. Oct. 5, 1993) (allowing a mother injured in an automobile accident who witnessed injury to her daughters to recover for emotional distress because, *inter alia*, she was in the zone of danger); Rickey v. Chicago Transit Auth., 457 N.E.2d 1, 5 (Ill. 1983) (holding that an individual "who is in the zone of physical danger and . . . fears for his own safety is given a right of action for physical injury or illness resulting from emotional distress"); Shirk v. Kelsey, 617 N.E.2d 152 (Ill. App. Ct. 1993) (affirming cause of action stated for malpractice and emotional distress resulting from an incomplete abortion); Bovsun v. Sanperi, 61 N.Y.2d 219, 461 N.E.2d 843, 473 N.Y.S.2d 357 (1984) (permitting plaintiff to recover for injuries resulting from the observation of injury or death of a family member, if the defendant negligently exposed the plaintiff to injury and the negligence was a substantial factor in causing the death or injury).
difficulties could arise, specifically required that the resulting injury be substantial, as it was concerned about tracing a causal connection between the fright and the claimed physical injury. However, it asserted its confidence that the trial courts, "through the rules of evidence and the requirements as to the sufficiency of evidence, [could] safeguard against the danger that juries will find facts without legally adequate proof." 

Bystander recovery for emotional distress was further extended in 1980, when the New Jersey Supreme Court reviewed *Portee v. Jaffee*. In *Portee*, plaintiff watched as her son suffered and died as a result of being trapped between defendant's negligently maintained elevator and the wall of the elevator shaft. The court had to decide whether a plaintiff could recover for emotional distress resulting from seeing the negligently inflicted injuries to another, even though she was in no danger of personal injury herself. As the court noted, "[t]he task in [this] case involve[d] the refinement of principles of liability to remedy violations of reasonable care while avoiding speculative results or punitive liability." The *Portee* court followed the California Supreme Court decision in *Dillon v. Legg*, in allowing plaintiff's recovery for negligent infliction of emotional distress.

Building on the criteria set forth in *Dillon*, *Portee* established the following requirements of proof:

1. The death or serious physical injury of another caused by defendant's negligence;  
2. A marital or intimate, familial relationship between plaintiff and the injured person;  
3. Observation of the death or injury at the scene of the accident; and  
4. Resulting severe emotional distress.

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39. Id. at 16.  
40. Id.  
42. Id. at 522-23.  
43. Id. at 522.  
44. Id. at 526.  
45. 441 P.2d 912 (Cal. 1968). In *Dillon*, the California Supreme Court held that the plaintiff could recover for emotional shock caused by observing the death of her child even though she was not in peril of being injured. *Id.* at 915, 925.  
47. See supra text accompanying note 9.  
48. *Id.* at 528.
The court stressed that existence of a close relationship between the plaintiff and the injured party was the most important element, but acknowledged that observation of the death or injury was also essential. The court reasoned that "[w]ithout such perception, the threat of emotional injury is lessened and the justification for liability is fatally weakened."

B. Medical Malpractice Cases Decided Under the Impact Rule

Once the New Jersey Supreme Court adopted the Dillon rule allowing for bystander recovery, suits followed specifically dealing with medical malpractice and the emotional distress this caused to family members. From 1979 until the present, when medical malpractice was the basis for emotional distress to close family members, New Jersey courts have relied on either the "direct impact" rule or Dillon bystander rule. The "zone of danger" rule does not lend itself to use in medical malpractice cases because family members claiming a cause of action are rarely in physical danger themselves. Several of these cases are particularly pertinent in analyzing the Carey v. Lovett decision.

Prior to the Portee decision, in two malpractice cases involving birth defects, recovery was allowed based on what the court found to be "direct injury" to the parents. In Berman v. Allan, parents brought medical malpractice actions for, among other things, the "wrongful birth" of their daughter, who was

49. Id. at 526-27.
50. Id. at 527.
54. Ropiequet, supra note 1, at 62.
56. Berman, 404 A.2d at 15; Friel, 400 A.2d at 152.
57. 404 A.2d 8 (N.J. 1979).
born afflicted with Down's syndrome. The parents sought to recover damages for "the emotional anguish they . . . experienced and [would] continue to experience as a result of [their child]'s birth defect." The Bermans alleged that, given Mrs. Berman's age, the defendants deviated from accepted medical standards by not informing her of the availability of a procedure known as amniocentesis. Since Mrs. Berman was over thirty-five years old when she conceived, the risk was substantial that her child would be born with Down's syndrome. The plaintiffs asserted that "sound medical practice at the time of [the] pregnancy required defendants to inform her both of this risk and the availability of amniocentesis." Mrs. Berman claimed that this would have allowed her to decide, if the test proved positive, whether to abort the fetus. The trial court granted summary judgment for the defendants on the ground that the Bermans failed to state any actionable claim for relief. The plaintiffs filed a notice of appeal to the Appellate Division, but the New Jersey Supreme Court directly certified the case on its

58. Id. at 9-10. "Down's syndrome [is] a genetic defect commonly referred to as mongolism." Id. at 10.

59. Id. at 10.

60. Id. Mrs. Berman was 38 years old at the time of her pregnancy. Id. Amniocentesis is usually recommended only for women over the age of 35 (who are more likely to have a child with Down's syndrome . . . )." AMERICAN MEDICAL ASSOCIATION ENCYCLOPEDIA OF MEDICINE 95 (1989).

61. Berman, 404 A.2d at 10.

"[Amniocentesis] involves the insertion of a long needle into the mother's uterus and the removal therefrom of a sample of amniotic fluid containing living fetal cells. Through 'karyotype analysis'—a procedure in which the number and structure of the cells' chromosomes are examined—the sex of the fetus as well as the presence of gross chromosomal defects can be detected . . . . Recent studies indicate that amniocentesis is highly accurate in predicting the presence of chromosomal defects, and that the risk of even minor damage to mother or fetus deriving from the procedure is less than one percent."

Id. Amniocentesis is a diagnostic procedure performed in order to detect fetal abnormalities such as Down's syndrome, which is a chromosome abnormality. "There is a slight increased incidence of threatened miscarriage . . . after amniocentesis (older studies show a risk of 1 to 2 percent; more recent studies show a risk of about 0.5 percent)." AMERICAN MEDICAL ASSOCIATION ENCYCLOPEDIA OF MEDICINE 95 (1989).


63. Id.

64. Id.

65. Id. at 11.
own motion. The court held that both parents had stated actionable claims for relief based upon defendants' depriving them "of the option to accept or reject a parental relationship with the child," and remanded the case for trial. The court offered no explanation as to what specific act it deemed to be a "direct impact" on Mrs. Berman, but instead, found a cause of action in the "direct injury" to Mrs. Berman caused by her loss of the right to abort the fetus.

Another case dealing with recovery for emotional distress based on direct injury to the mother was *Friel v. Vineland Obstetrical & Gynecological Professional Ass'n.* The issue in *Friel* was whether a mother could recover for malpractice, not only for her own injury, but also for her mental anguish related to the premature delivery of her baby.

The plaintiff, Betty Friel, in her thirty-first week of pregnancy, noticed vaginal bleeding and was instructed by defendants, her treating physicians, to take aspirin and a shot of whiskey. A few days later during an office visit, defendant informed Mrs. Friel that she had a bladder infection and prescribed medication. One week later, Mrs. Friel was still bleeding and suffering from cramps. She went to the hospital, where her doctor "examined her, reaffirmed his diagnosis and advised [her] to go home." Two days later, Mrs. Friel became violently ill, suffered convulsions, continued to bleed, and was

66. Id.
67. Id. at 14.
68. Id. at 15.
69. Id. at 14.
71. Id. at 148, 151. The malpractice claim was based on the plaintiff's allegations that defendant doctors misdiagnosed her illness and were not in attendance when her baby was delivered. Id. at 149.
72. Id. at 148. Mrs. Friel's baby suffered from several serious disorders at birth. Id. at 149. Mrs. Friel's mental anguish and anxiety were allegedly caused by the premature delivery of her baby and uncertainty as to the child's development resulting from its damage at birth. Id. at 148.
73. Id.
74. Id.
75. Id. "The final diagnosis was that Betty Friel had suffered an abruptio placenta." Id. at 149. Abruptio placenta is a "premature detachment of a normally situated placenta." Stedman's Medical Dictionary 4 (5th Unabr. Lawyers' ed. 1982).
admitted to the hospital at about 8:00 p.m. 76 Mrs. Friel was treated with Demerol 77 and intravenous oxytocin 78 and left in the labor room with no one in attendance. 79 When the baby was born at 10:26 p.m., no episiotomy 80 was performed and no member of defendant doctors’ practice was present. 81 The baby was “cyanotic, 82 suffered intracranial hemorrhaging, 83 apnea, 84 and central nervous system difficulties. 85

The court held that Mrs. Friel was entitled to recover for any injury caused by the delay in her diagnosis. 86 Even if she

76. Friel, 400 A.2d at 149.
77. Demerol is a “synthetic opioid analgesic . . . [which] is preferred to morphine for obstetric use because its rapid onset of action and shorter duration usually permit greater flexibility in maternal analgesia, possibly with less effect on neonatal respiration.” American Medical Association Drug Evaluations Annual 105 (1993).
78. Oxytocin is a “hormone used for the induction or stimulation of labor, in the management of postpartum hemorrhage . . . .” Stedman’s Medical Dictionary 1012 (5th Unabridged Lawyers’ ed. 1982).
79. Friel, 400 A.2d at 149. Mrs. Friel’s expert witness stated in his report that “[i]t is considered customary practice that during intravenous oxytocin stimulation the following biologic parameters are carefully monitored every ten minutes; the blood pressure, the rate of flow of oxytocin, the frequency and intensity of the uterine contractions and the fetal heart rate.” Id. There was no record of this having been done. Id.
80. An episiotomy is a “[s]urgical incision of the vulva to prevent laceration at the time of delivery . . . .” Stedman’s Medical Dictionary 477-78 (5th Unabridged Lawyers’ ed. 1982). Episiotomy is used to enlarge the vaginal opening, which is necessary in a breech delivery, when there is little opportunity for gradual stretching of the perineal tissue to occur. An episiotomy is done to speed delivery when the baby is not getting enough oxygen during labor. It also reduces pressure on the head of a premature baby. American Medical Association Encyclopedia of Medicine 414-15 (1989).
81. Friel, 400 A.2d at 149.
82. Cyanosis is “a bluish discoloration of the skin and mucous membranes resulting from an inadequate amount of oxygen in the blood.” Bantam Medical Dictionary 107 (Revised ed. 1990).
83. Intracranial hemorrhaging is the “escape of blood within the cranium . . . frequently leading to [the] formation of local accumulations of blood.” Stedman’s Medical Dictionary 627, 637 (5th Unabridged Lawyers’ ed. 1982). In his report of June 9, 1977, the plaintiff’s expert witness noted that “premature infants are occasionally known to suffer intracranial hemorrhage without apparent cause. There would seem sufficient reasons in this case for traumas to the head to produce such injury. I refer specifically to the use of intravenous oxytocin, the omission of episiotomy, etc.” Friel, 400 A.2d at 149.
85. Friel, 400 A.2d at 149.
86. Id. at 150.
could not prove direct physical injury, she could recover for pain and suffering if she could prove it was proximately caused by defendants' acts and omissions and occurred during the period of delay.\textsuperscript{87} Additionally, the court held that "[i]f negligence in treatment from April 30 to May 9 [could] be shown, . . . any proven emotional upset caused by anxiety over possible harm to the unborn child during that period [was] compensable."\textsuperscript{88} Here, Mrs. Friel did suffer physically due to the doctors' misdiagnosis of her condition. This led the court to find that the direct injury to the mother was sufficient to sustain a claim for the resulting emotional distress caused by the injury to her infant by the same misdiagnosis.\textsuperscript{89} Unlike Mrs. Berman, Mrs. Friel's cause of action was soundly based on "direct impact" because she was physically harmed by defendants' negligence.

In \textit{Procanik v. Cillo},\textsuperscript{90} parents sued for, among other things, emotional distress resulting from the birth of a child with birth defects.\textsuperscript{91} Mrs. Procanik consulted the defendant doctors because she had contracted what was diagnosed as measles during her first trimester of pregnancy.\textsuperscript{92} She did not, however, know if her illness had been measles or German measles.\textsuperscript{93} The defendant doctor tested Mrs. Procanik to ascertain if she had suffered from German measles.\textsuperscript{94} He mistakenly deduced that she had not been exposed during her pregnancy.\textsuperscript{95} Due to the doctor's negligent interpretation of that test, Mrs. Procanik was denied the opportunity to decide whether to allow her pregnancy to continue or to abort the fetus.\textsuperscript{96}

Before trial, the Procaniks stipulated that they knew they had a potential cause of action almost three years before they

\begin{itemize}
  \item \textsuperscript{87} \textit{Id.}
  \item \textsuperscript{88} \textit{Id.} at 151.
  \item \textsuperscript{89} \textit{Id.} at 152. \textit{See Prosser on Torts, supra} note 24, § 54, at 362-63 (stating that where defendant's negligence causes physical injury, the plaintiff may recover for accompanying mental damage).
  \item \textsuperscript{90} 478 A.2d 755 (N.J. 1984).
  \item \textsuperscript{91} \textit{Id.} at 757. The infant was "born with multiple birth defects, including eye lesions, heart disease, and auditory defects." \textit{Id.} at 758.
  \item \textsuperscript{92} \textit{Id.}
  \item \textsuperscript{93} \textit{Id.}
  \item \textsuperscript{94} \textit{Id.}
  \item \textsuperscript{95} \textit{Id.}
  \item \textsuperscript{96} \textit{Id.}
\end{itemize}
brought suit. The trial court granted defendant's motion to dismiss, finding that the plaintiff's claim was barred by the two year statute of limitations. The appellate court affirmed. On appeal to the New Jersey Supreme Court, the Procaniks sought to renew their claim for emotional distress asserting that their cause of action was derived from the infant's cause of action. This would toll the statute of limitations during infancy and protect their claim. Referring to its decision in Berman v. Allan, the supreme court noted that "parents may recover for emotional distress for the 'wrongful birth' of a child born with birth defects." The court found that "[a]t one time Mr. and Mrs. Procanik had independent claims for their emotional distress" because they "were deprived of the choice of terminating the pregnancy." The court held that "the parents' claim was independent from that of the child," and that their right to recover was not based on the child's injury, but on the "direct injury to their own independent rights." The Procaniks' cause of action for emotional distress was disallowed, however, because the statute of limitations had run.

C. Bystander Recovery Cases—The Dillon Rule

The criteria for recovery enumerated in Dillon were meant "to limit the otherwise potential[ly] infinite liability which would follow every negligent act" by holding the defendant liable only for injuries which he could reasonably foresee. The
The case of *Lindenmuth v. Alperin* focused the court's attention on the parameters of bystander recovery for medical malpractice. In *Lindenmuth*, the parents of a child who died three days after birth from an intestinal obstruction sued for damages resulting from the physician's negligent failure to diagnose and treat the condition. The New Jersey Superior Court dismissed the parents' claim for emotional distress, holding that it did not meet the criteria set out in the *Portee* decision. *Portee* required that to recover for negligent infliction of emotional distress resulting from injury to another, one must prove, among other things, that he observed the injury. The superior court, relying on the ruling in *Portee*, found that "observation of the injury . . . is crucial to [the] plaintiffs' claim." Since the misdiagnosis in *Lindenmuth* was not an event that could be perceived by the plaintiff, she could not recover for observing the injury resulting from it.

Because New Jersey courts had not dealt with the issue of "whether misdiagnosis . . . can be equated with observing the injury," the *Lindenmuth* court also discussed two California cases. In *Jansen v. Children's Hospital Center*, the plaintiff mother sued a hospital for emotional trauma and the alleged resulting physical injury caused "by witnessing the progressive decline and ultimate death of her daughter." The child's death was caused by misdiagnosis that led to a massive gastrointestinal hemorrhage. The court had to decide if the rule of *Dillon* extended to a situation where the victim's injury did not result from a direct impact, but rather, from the defendant's

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111. Id. at 1316-17.
112. Id.
113. Id.
114. Id. See supra text accompanying note 48.
115. See supra text accompanying notes 45-50.
117. Id. at 1318.
118. Id. at 1317.
121. Id. at 884. The court noted that the complaint did not specify the child's ailment. Id.
122. Id.
failure to diagnose a medical condition. The court held that the event causing injury to the child must itself be perceptible and that a "failure of diagnosis... is not an event that can be perceived by a layman." The Jansen court also noted that to allow recovery in a situation where only the results of malpractice were observed rather than the tortious act itself would lead to "potentially infinite liability." Because of these considerations, the Jansen court specifically refused to extend the Dillon rule to include visibility of the result of the misdiagnosis rather than observation of the tortious act itself as prescribed by Dillon. Heeding Dillon's counsel of moderation, the court refused to broaden the law to include medical malpractice in diagnosis.

The second California case discussed by the Lindenmuth court was Justus v. Atchison. It involved two cases brought by two sets of parents against the same doctor and hospital for the wrongful deaths of their unborn children during delivery. The fathers, suing on their own behalf for their emotional trauma caused by the stillbirths of their children, were denied recovery. Each father was present in the delivery room during the birth and each witnessed the stillbirth of his baby. Although both of these occurrences were "relatively sudden," the court reasoned that Dillon requires more than "mere physical presence," and "the shock must also result from a 'direct emotional impact' on the plaintiff caused by 'sensory contemporaneous observance of the accident.' The court went on to

123. Id. "Dillon... sets up three guidelines for determining foreseeability of injury to another than the person actually struck... Th[e] language [of the guidelines] contemplates a sudden and brief event causing the child's injury." Id.
124. Id. at 885.
125. Id. (quoting Dillon v. Legg, 441 P.2d 912, 919 (Cal. 1968)).
126. Id.
127. Id.
129. Id. at 124-25. The court noted that neither cause of action alleged the precise nature of the fetal injuries. They did allege that complications arose in the delivery of their respective babies. The complications involved the premature expulsion (in advance of the fetus) of the umbilical cord. "This event can cause fetal death by compression of the cord between the fetus and the maternal pelvis." Id. at 125 n.3.
130. Id. at 136.
131. Id. at 126 n.3, 135.
132. Id. at 135 (quoting Dillon v. Legg, 441 P.2d 912, 902 (Cal. 1968)).
explain that as uninvolved spectators the husbands had no way of knowing that the fetus had died until they were informed by the doctor.\textsuperscript{133} The California Supreme Court held that under the \textit{Dillon} rule, the shock caused by hearing about an accident and the resulting injury of a loved one after its occurrence, from another person, will not support a cause of action for emotional distress.\textsuperscript{134}

Two years after \textit{Lindenmuth} was decided, the New Jersey Superior Court extended the \textit{Dillon} rule "to permit recovery for negligent infliction of emotional distress when strict application of the elements in \textit{Dillon}" would have precluded recovery.\textsuperscript{135} The issue on appeal in \textit{Polikoff v. Calabro},\textsuperscript{136} was "whether the cause of action for negligent infliction of emotional distress, recognized by \textit{Portee v. Jaffee},\textsuperscript{137} is available to a parent who watches her child die as the result of medical malpractice."\textsuperscript{138}

Audrey Polikoff, a six-year old, underwent two surgical procedures.\textsuperscript{139} During the second procedure, the defendant, Dr. Calabro, the anesthesiologist, inserted a catheter\textsuperscript{140} into Audrey's jugular vein.\textsuperscript{141} The catheter was to be used for hyperalimentation.\textsuperscript{142} The day after the surgery Audrey was doing well, according to the nurses' records and her father's observations.\textsuperscript{143}

\textsuperscript{133} Id. at 135.
\textsuperscript{134} Id. at 135 (citing \textit{Dillon}, 441 P.2d at 919-20).
\textsuperscript{135} Ropiequet, \textit{supra} note 1, at 70.
\textsuperscript{137} 417 A.2d 512, 528 (N.J. 1980). \textit{See supra} notes 41-50 and accompanying text.
\textsuperscript{138} \textit{Polikoff}, 506 A.2d at 1286 (citing \textit{Portee}, 417 A.2d at 528).
\textsuperscript{139} Id. The surgical procedures performed on Audrey were to relieve a duodenal obstruction. \textit{Id}.
\textsuperscript{140} A catheter is "a tubular instrument for the passage of fluid from or into a body cavity . . . ." \textit{Stedman's Medical Dictionary} 237 (5th Unabr. Lawyers' ed. 1982).
\textsuperscript{141} \textit{Polikoff}, 506 A.2d at 1286. The jugular vein is "any of several veins of the neck. The jugular veins receive blood from the head and neck and all converge to form the innominate vein. The innominate veins terminate in the superior vena cava, which opens into the upper posterior portion of the right atrium." \textit{3 Encyclopedia Britannica} 884 (15th ed. 1974).
\textsuperscript{142} \textit{Polikoff}, 506 A.2d at 1286. Hyperalimentation is the "administration of fluids containing essential nutrients through a venous catheter positioned in the superior vena cava; therapy is continuous and permits total replacement of nutritional needs at a slow rate, which minimizes overloading and excessive renal losses." \textit{Stedman's Medical Dictionary} 598 (3d Unabr. Lawyers' ed. 1972), \textit{cited in Polikoff}, 506 A.2d at 1286 n.1.
\textsuperscript{143} \textit{Polikoff}, 506 A.2d at 1286-87.
Mrs. Polikoff, Audrey's mother, visited Audrey that afternoon and was present when hyperalimentation was started.144 Audrey died two hours later from a "cardiac tamponade" secondary to perforation of the central venous pressure catheter into the pericardial sac."145 The hospital reports showed that "the fluid which had accumulated [was] the hyperalimentation fluid introduced through the catheter."146 Mrs. Polikoff, the plaintiff, was with Audrey throughout the two hours that Audrey was in distress and watched as teams of doctors and nurses tried to resuscitate the child.148 After Audrey's death, Mrs. Polikoff was under psychiatric care due to extreme emotional trauma.149

Dr. and Mrs. Polikoff sued both Dr. Calabro, the anesthesiologist, and Dr. Panzarino, the surgeon, for negligence.150 Dr. Calabro moved for summary judgment, claiming that the plaintiff could not recover for emotional distress because she did not satisfy the observation requirement of Portee.151 The trial court, relying on Lindenmuth v. Alperin,152 granted defendant's motion.153 In reversing the lower court's decision, the Polikoff court found Lindenmuth inapplicable to this case.154 In the court's opinion, Audrey died, not from the negligent insertion of the catheter during surgery, but from the "introduction of hyperalimentation fluid into the incorrectly placed catheter."155 Therefore, the court held that Mrs. Polikoff could recover for emotional distress, because she observed the infliction of the in-

144. Id.
145. Id. at 1287. Cardiac tamponade is "compression of the heart resulting from accumulation of fluid within the pericardial sac." STEDMAN'S MEDICAL DICTIONARY 1253 (3d Unabr. Lawyers ed. 1972), cited in Polikoff, 506 A.2d at 1286 n.2.
146. Polikoff, 506 A.2d at 1287.
147. Id. at 1287 n.2.
148. Id. at 1287.
149. Id.
150. Id.
153. Polikoff, 506 A.2d at 1288.
154. Id.
155. Id.
jury to her daughter and, thus, satisfied the observation requirement of Portee.\textsuperscript{156}

In \textit{Frame v. Kothari},\textsuperscript{157} parents brought an action to recover for, among other things, their emotional distress which resulted from their infant son's death.\textsuperscript{158} His death resulted from a misdiagnosis by the defendant doctor.\textsuperscript{159} On January 22, 1982, the plaintiffs' son fell down a flight of stairs in his home.\textsuperscript{160} He was immediately taken to a health clinic where he was treated by the defendant, Dr. Kothari.\textsuperscript{161} After examining the child, Dr. Kothari told the parents that he had a virus.\textsuperscript{162} She directed the Frames to take the child home, and to wake him every four hours to check for symptoms of a head injury.\textsuperscript{163} Mr. Frame claimed that when he called the defendant at 2:00 p.m. and told her that the child's eyes were "'pivoting' or rolling in the eye sockets," Dr. Kothari told them to let him sleep for four more hours.\textsuperscript{164} At 6:00 p.m., when the Frames tried to waken the child, he was lifeless.\textsuperscript{165} They rushed him to the hospital "where x-rays revealed a blood clot at the rear of his skull."\textsuperscript{166} Emergency surgery was performed, but the child died during the night.\textsuperscript{167} "The cause of death was an intra-cerebellar hemorrhage\textsuperscript{168} due to a blunt trauma to the skull."\textsuperscript{169}

After the child's death, Mrs. Frame suffered from nightmares and insomnia.\textsuperscript{170} She was under the care of a psychiatrist, who diagnosed her ailment as "a chronic post-traumatic stress disorder" and attributed her illness to "the events sur-

\textsuperscript{156} Id.
\textsuperscript{157} 560 A.2d 675 (N.J. 1989).
\textsuperscript{158} Id. at 676.
\textsuperscript{159} Id.
\textsuperscript{160} Id.
\textsuperscript{161} Id.
\textsuperscript{162} Id.
\textsuperscript{163} Id.
\textsuperscript{164} Id. at 676-77.
\textsuperscript{165} Id. at 677.
\textsuperscript{166} Id.
\textsuperscript{167} Id.
\textsuperscript{168} Intra-cerebellar hemorrhage is the "extravasation of blood within the brain substance." \textit{Stedman's Medical Dictionary} 637 (5th Unabridged Lawyers' ed. 1982). Extravasation is "to pass out of a vessel into tissues." \textit{Id.} at 502.
\textsuperscript{169} \textit{Frame}, 560 A.2d at 677.
\textsuperscript{170} Id.
rounding the loss of her son." After a trial, the jury awarded each parent $500 for emotional distress. The appellate court reversed the award, holding "that to impose liability where the malpractice merely consists of an improper diagnosis will result in too great a cost to society and have a profoundly deleterious impact on the medical profession to the detriment of our society as a whole."

In considering whether medical misdiagnosis would support a claim for infliction of emotional distress, the New Jersey Supreme Court noted that the only basis for the Frames' emotional distress claim was [the doctor's] alleged negligence in failing "to tell the Frames in their 2:00 p.m. telephone conversation" to bring their child to the hospital. The court went on to discuss the standard of recovery required by Portee v. Jaffee and the holdings in Polikoff v. Calabro and Giardina v. Bennett.

The court noted that the parents in Giardina could recover because "the experience of pregnancy and child birth itself constitutes the immediacy and presence . . . that was stressed in Portee." In distinguishing Giardina, the Frame court asserted:

Diagnosis is an intellectual undertaking, requiring the physician to analyze symptoms and reach a conclusion. The nature of a misdiagnosis is such that its results may neither manifest themselves immediately nor be shocking. Hours, days, or months may separate a misdiagnosis, the manifestation of the injury to the patient, and the family member's observation of the injury. Thus, the

171. Id.
173. Id. at 91.
174. Frame, 560 A.2d at 677.
175. 417 A.2d 521 (N.J. 1980)). See supra text accompanying notes 47-50.
177. Frame, 560 A.2d at 677-78 (citing Giardina v. Bennett, 545 A.2d 139 (N.J. 1988)). In Giardina, plaintiffs could not recover under the wrongful death statute for the stillbirth of their baby caused by malpractice, but had a cognizable claim for the emotional distress this caused. Giardina, 545 A.2d at 139. See also infra notes 231-54 and accompanying text for a discussion of Giardina.
178. Frame, 560 A.2d at 678 (quoting Giardina, 545 A.2d at 143; Portee, 417 A.2d at 526).
event may not cause the simultaneous concurrence or rapid sequence of events associated with a shocking event.\textsuperscript{179}

The court also recognized that other jurisdictions\textsuperscript{180} held that "one family member should not recover for emotional distress resulting from the misdiagnosis of another family member, at least in the absence of a close temporal connection between the misdiagnosis and the injury, as well as the contemporaneous observation of the injury by the family member."\textsuperscript{181}

The New Jersey Supreme Court thus denied recovery for the emotional distress suffered by the Frames because the misdiagnosis did not manifest an immediate injury to their son and the chain of events spanning eleven hours until the child's death, "although deeply tragic, were not 'shocking.'"\textsuperscript{182} The concurring opinion suggested that "the cost to society of expanding medical malpractice liability to allow a family member to recover for his . . . emotional distress as a result of a physician's improper diagnosis will outweigh the benefits to society."\textsuperscript{183}

The courts in the cases discussed above basically adhered to the Dillon rule and required that its criteria be met before recovery would be allowed.

179. Id.

180. \textit{E.g.}, Budavari v. Barry, 222 Cal. Rptr. 446 (Cal. Ct. App. 1986) (denying recovery to wife when her husband's cancer was not diagnosed until it was terminal); Jansen v. Children's Hosp. Med. Ctr., 106 Cal. Rptr. 883 (Cal. Ct. App. 1973) (denying recovery to a mother for emotional distress caused by her daughter's death from undiagnosed gastrointestinal hemorrhage); Amodio v. Cunningham, 438 A.2d 6 (Conn. 1980) (denying recovery to a mother for emotional distress resulting from her doctor's failure to diagnose and treat child's respiratory problem); Williams v. Baker, 540 A.2d 449 (D.C. 1988) (denying recovery to a mother for emotional distress arising from misdiagnosis of her son's serious illness); Pate v. Children's Hosp., 404 N.W.2d 632 (Mich. Ct. App. 1986) (denying recovery to sister for emotional distress caused by defendant's failure to diagnose pneumonia which resulted in the patient's death); Wilson v. Galt, 668 P.2d 1104 (N.M. Ct. App.) (denying parents recovery for emotional distress resulting from the failure of doctors to diagnose bilirubin encephalopathy in their newborn son, which caused brain damage to the child), \textit{cert. quashed}, 668 P.2d 308 (N.M. 1983).


182. \textit{Id.} at 681.

183. \textit{Id.} at 682 (Garibaldi, J., concurring).
D. The Direct Victim Rule

An early definition offered for the direct victim principle stated:

A "direct victim" does not have to be a contemporaneous, percipient witness suffering a direct and substantial shock to the nervous system from observation of harm caused to a loved one. Instead, the victim is the "direct" target of the malpractice or other negligent act and accordingly is permitted to recover for his psychological injuries. The most important feature of the direct victim rule is that the plaintiff is no longer considered a bystander.

In 1980, the California Supreme Court, in deciding Molien v. Kaiser Foundation Hospital, substantially extended the requirements established in Dillon. The issue in Molien was whether damages could be recovered for negligent infliction of emotional distress without the occurrence of any physical injury.

Mrs. Molien, after having a routine physical, was incorrectly diagnosed as having contracted syphilis. She underwent treatment for the disease, which included massive doses of penicillin. As a result of the erroneous diagnosis, Mrs. Molien accused her husband of having extramarital sexual activity, which created difficulties in their marriage. This led to the "initiation of dissolution proceedings." Subsequently, Mr. Molien instituted a complaint alleging loss of marital consortium and emotional distress.

In its decision, the court found that Dillon was not controlling. It distinguished Dillon from the instant case by finding that Mrs. Dillon "sought recovery of damages she suffered as a percipient witness to the injury of a third person," whereas Mr. Molien was "himself a direct victim of the assertedly negli-

184. Ropiequet, supra note 1, at 75.
185. 616 P.2d 813 (Cal. 1980).
186. Id. at 814.
187. Id.
188. Id.
189. Id. at 814-15.
190. Id. at 815.
191. Id.
192. Id.
193. Id. at 816.
The court found that the significance of Dillon in this case was "its general principle of foreseeability," rather than its guidelines. Based on its judgment that the defendant knew or should have known that the defendant's misdiagnosis would cause Mr. Molien emotional distress, the California Supreme Court sanctioned recovery for negligently caused emotional distress unaccompanied by physical injury. The court held that "because the risk of harm to [Mr. Molien] was reasonably foreseeable, . . . under these circumstances defendants owed plaintiff a duty to exercise due care in diagnosing the physical condition of his wife." Although, the Molien court did not establish criteria for determining when a plaintiff is a direct victim, the California Supreme Court subsequently defined direct victim as a "person whose emotional distress is a reasonably foreseeable consequence of the conduct of the defendant."

In 1989, the California Supreme Court, en banc, reconsidered its standards for awarding damages for negligent infliction of emotional distress, when it reviewed Thing v. La Chusa. In Thing, the mother of a child struck by an automobile sought damages for emotional distress she suffered when she arrived at the scene after the accident. She did not see or hear the accident and became aware of it only when told by her daughter what had transpired. Mrs. Thing, basing her argument on Molien, claimed that she was a direct victim of the defendant's negligence. The court denied recovery and reaffirmed the validity of adhering to the dictates of the Dillon rule. The California Supreme Court noted that "just as the 'zone of danger'
limitation was abandoned in *Dillon* as an arbitrary restriction on recovery, the *Dillon* guidelines have been relaxed on grounds that they, too, created arbitrary limitations on recovery.²⁰⁷ This relaxation only served to muddy the water as the right to recover for emotional distress was expanded and the requirements of physical injury and physical manifestation of the mental distress were abandoned.²⁰⁸ "The subtleties in the distinction between the right to recover as a 'bystander' and as a 'direct victim' created . . . an 'amorphous nether realm'. . . ."²⁰⁹

The court concluded:

[The societal benefits of certainty in the law, as well as traditional concepts of tort law, dictate the limitation of bystander recovery of damages or emotional distress. In the absence of physical injury or impact to the plaintiff himself, damages for emotional distress should be recoverable only if the plaintiff: (1) is closely related to the injury victim; (2) is present at the scene of the injury-producing event at the time it occurs and is then aware that it is causing injury to the victim; and (3) as a result suffers emotional distress beyond that which would be anticipated in a disinterested witness.²¹⁰

Three years after *Thing*, the California Supreme Court, reviewing *Burgess v. Superior Court*,²¹¹ acknowledged that "the confusion in applying the rules for bystander and direct victim recovery . . . can be traced to [the] court's decision in *Molien* which first used the 'direct victim' label."²¹² The issue in *Burgess* was whether a mother could recover under the direct victim rule for emotional distress resulting from the negligent delivery of her baby boy or, if her claim had to be considered utilizing the bystander rule.

Mrs. Burgess went into labor and was admitted to the hospital.²¹³ After the obstetrician artificially broke Mrs. Burgess' membranes (water), the umbilical cord compressed.²¹⁴ Mrs.

²⁰⁷. *Id.* at 821.
²⁰⁸. *Id.* at 824.
²⁰⁹. *Id.* at 823.
²¹⁰. *Id.* at 815.
²¹². *Id.* at 1201.
²¹³. *Id.* at 1198.
²¹⁴. *Id.* When the umbilical cord compresses, it is known as a prolapsed cord. This may diminish or stop the flow of oxygen to the fetus. *Id.* (citing 2 DAVID W.
Burgess was aware that something was wrong as she was prepared for an emergency Cesarean section.\textsuperscript{215} The baby, deprived of oxygen through his umbilical cord for about forty-four minutes, suffered permanent brain damage, as well as damage to his nervous system.\textsuperscript{216} The baby subsequently died, allegedly as a result of the injuries sustained at birth.\textsuperscript{217}

Mrs. Burgess sued her obstetrician for, among other things, emotional distress caused by his negligence.\textsuperscript{218} Her obstetrician argued that Mrs. Burgess did not meet the requirements set forth in \textit{Thing v. La Chusa}\textsuperscript{219} and, therefore, could not recover for emotional distress.\textsuperscript{220} The court held that Mrs. Burgess was not a bystander, but rather a direct victim and hence, the \textit{Thing} decision did not apply.\textsuperscript{221} The court went on to note that “[i]n cases involving family relationships and medical treatment, confusion has reigned as to whether and under which ‘theory’ plaintiffs may seek damages for negligently inflicted emotional distress.”\textsuperscript{222} In explaining the difference between the two theories, the court reaffirmed that recovery for negligent infliction of emotional distress requires proof of the traditional negligence elements of duty, breach of that duty, causation, and damages\textsuperscript{223} and noted that “[t]he distinction between ‘bystander’ and ‘direct victim’ cases is found in the source of the duty owed by the defendant to the plaintiffs.”\textsuperscript{224}

The court explained that bystander cases originate when negligent behavior by the defendant causes physical injury or emotional distress to a plaintiff with whom the defendant had no prior relationship and to whom he did not owe a specific duty of care.\textsuperscript{225} On the other hand, the “direct victim” rule is used when the defendant breaches a duty owed to the plaintiff that

\textsuperscript{215} Burgess, 831 P.2d at 1198.
\textsuperscript{216} Id. at 1199.
\textsuperscript{217} Id.
\textsuperscript{218} Id.
\textsuperscript{220} Burgess, 831 P.2d at 1199.
\textsuperscript{221} Id.
\textsuperscript{222} Id.
\textsuperscript{223} Id. at 1200.
\textsuperscript{224} Id.
\textsuperscript{225} Id.

\textit{Louisell \& Harold Williams, Medical Malpractice § 17G.18[2][c] (1991); F. Gary Cunningham et al., Williams Obstetrics 680 (18th ed. 1989)).
the defendant has assumed, which is "imposed on the defendant as a matter of law, or that arises out of a relationship between the two."\(^\text{226}\) The court went on to state that liability for malpractice arises where there is a doctor-patient relationship between the plaintiff and the defendant giving rise to a duty of care.\(^\text{227}\) The court applied the test enunciated in \textit{Thompson v. County of Alameda},\(^\text{228}\) in order to establish whether a duty of care did in fact exist between the defendant and the plaintiff and her fetus.\(^\text{229}\) Because Mrs. Burgess' doctor clearly owed her a duty of care, the court held that Mrs. Burgess was a "direct victim" of his negligence and therefore she was entitled to recover for the emotional distress caused by the negligent delivery of the baby.\(^\text{230}\)

The New Jersey Supreme Court, although not stating so, applied the direct victim rule when it decided \textit{Giardina v. Bennett}.\(^\text{231}\) The sole issue on appeal was whether parents could recover under the Wrongful Death Act\(^\text{232}\) for the stillbirth of their

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\(^{226}\). \textit{Id.} at 1201.

\(^{227}\). \textit{Id.} at 1202. The duty of care required from doctors is the knowledge and use of skill and care ordinarily possessed and used by similarly situated members of the profession. \\textit{Prosser on Torts, supra} note 24, § 32, at 187-89.

\(^{228}\). 614 P.2d 728, 732-33 (Cal. 1980).

\(^{229}\). \textit{Burgess,} 831 P.2d at 1205. Factors to be considered to establish the existence of a duty are:

- the foreseeability of harm to the plaintiff,
- the degree of certainty that the plaintiff suffered injury,
- the closeness of the connection between the defendant's conduct and the injury suffered [by the plaintiff],
- the moral blame attached to the defendant's conduct,
- the policy of preventing future harm,
- the extent of the burden to the defendant and the consequences to the community of imposing a duty to exercise care with resulting liability for a breach,
- and the availability, cost and prevalence of insurance for the risk involved.


\(^{230}\). \textit{Id.} at 1200, 1208-09.

\(^{231}\). 545 A.2d 139 (N.J. 1988)

\(^{232}\). N.J. STAT. ANN. § 2A:31-1 (West 1987). An action for wrongful death lies:

\[\text{[w]hen the death of a person is caused by a wrongful act, neglect or default, such as would, if death had not ensued, have entitled the person injured to maintain an action for damages resulting from the injury, the person who would have been liable in damages for the injury if death had not ensued shall be liable in an action for damages, notwithstanding the death of the person injured and although the death was caused under circumstances amounting in law to a crime.}\]

\textit{Id.}
child caused by the alleged malpractice of the defendant doctor.\textsuperscript{233} The plaintiff, Mrs. Giardina, was due to deliver her baby on May 19, 1983.\textsuperscript{234} When she was two weeks overdue, the defendant doctor ordered a "non-stress test" and found no problems.\textsuperscript{235} During the next nine days, Mrs. Giardina had periodic contractions and was examined twice by Dr. Bennett.\textsuperscript{236} The doctor considered Mrs. Giardina's symptoms normal and refused to perform a Cesarean section.\textsuperscript{237} On June 12, the plaintiff entered the hospital in labor.\textsuperscript{238} The obstetric staff could not detect a fetal heartbeat.\textsuperscript{239} The defendant subsequently confirmed the baby's death and induced labor.\textsuperscript{240} On June 13, the baby was stillborn.\textsuperscript{241} The plaintiffs sued Dr. Bennett for malpractice under the Wrongful Death Act.\textsuperscript{242} Following the New Jersey Supreme Court's ruling in \textit{Graf v. Taggert},\textsuperscript{243} the trial court granted the defendant's motion for summary judgment and the appellate court affirmed.\textsuperscript{244} Although the New Jersey Supreme Court also held that the plaintiffs could not recover under the Wrongful Death statute, it felt compelled to consider "how [the New Jersey] tort system should respond to the negligently caused death of a fetus."\textsuperscript{245}

The Supreme Court, in discussing prior New Jersey decisions including \textit{Berman v. Allan},\textsuperscript{246} \textit{Procanik v. Cillo},\textsuperscript{247} \textit{Portee}

\begin{itemize}
\item \textsuperscript{233} Giardina, 525 A.2d at 139.
\item \textsuperscript{234} Id. at 140.
\item \textsuperscript{235} Id.
\item \textsuperscript{236} Id.
\item \textsuperscript{237} Id.
\item \textsuperscript{238} Id.
\item \textsuperscript{239} Id.
\item \textsuperscript{240} Id.
\item \textsuperscript{241} Id.
\item \textsuperscript{242} Id.
\item \textsuperscript{243} 204 A.2d 140 (N.J. 1964) (denying recovery to a pregnant mother involved in an automobile accident with defendant, which resulted in injury to the fetus and subsequent stillbirth, reasoning that there could be no recovery for the death of an unborn child).
\item \textsuperscript{244} Giardina, 545 A.2d at 140. In affirming the summary judgement for the defendant in Graf, the New Jersey Supreme Court held that "due to the 'uniformly speculative' nature of damages, a wrongful death action could not be maintained with respect to the pre-birth death of an infant." \textit{Id.} (citing Graf, 204 A.2d at 145).
\item \textsuperscript{245} Giardina, 545 A.2d at 139.
\item \textsuperscript{246} 404 A.2d 8 (N.J. 1979); see \textit{supra} notes 57-69 and accompanying text.
\item \textsuperscript{247} 478 A.2d 755 (N.J. 1984); see \textit{supra} notes 90-108 and accompanying text.
\end{itemize}
v. Jaffee and Friel v. Vineland Obstetrical and Gynecological Professional Ass'n found "a critical element in this spectrum of cases [was] the intimate family relationship between the claimant and the immediate victim." The court also established that in Giardina, the plaintiff's pregnancy and childbirth were sufficient to take the place of the immediacy and presence of the claimant as prescribed by Portee and was sufficient to assure the "genuineness of the resulting emotional injury and mental anguish." The court concluded that "the wrong committed by a doctor in negligently causing the pre-birth death of an infant constitutes a tort against the parents." Although the court denied recovery for wrongful death, it stated that the Giardinas could recover for the emotional distress they suffered as a result of the defendant's malpractice.

III. Carey v. Lovett

A. Facts

During the summer of 1983, the plaintiff, Jo Ann Carey, a diabetic, became pregnant for the third time in four years. Her treating physicians were Dr. John Osler, III, an internist, and Dr. William E. Lovett Jr., an obstetrician and gynecologist. Mrs. Carey had been treated by both doctors for some time and, therefore, they were familiar with her medical history. Mrs. Carey's first child was born one month prematurely and suffered from toxemia. Her second pregnancy ended in a miscarriage. On October 9, 1983, during the twenty-sixth week of her third pregnancy, Mrs. Carey awoke...
feeling ill. After testing her blood sugar level and finding it elevated, Mrs. Carey drank bouillon all day and increased her dosage of insulin. Still feeling ill the following morning, Mrs. Carey called Dr. Osler's office at 8:30 a.m.

According to Dr. Osler, he tried to return Mrs. Carey's call during the day at least twice from his office and twice from the hospital. Each time he called, the line was busy or there was no answer. When Dr. Osler finally reached Mrs. Carey, it was 10:00 p.m. that evening. In response to his questioning, Mrs. Carey told Dr. Osler that she had not tested her urine for ketones. Dr. Osler claimed he told Mrs. Carey to go to the hospital immediately, but she refused. Nurse Kathleen McDonald, who was with Dr. Osler when he called Mrs. Carey, corroborated his story when she testified at trial. However, Mrs. Carey asserted that Dr. Osler instructed her to report to the hospital the next morning, October 11. Although Mr. Carey was present when Dr. Osler called, he testified that he had not heard the conversation because he had not been paying attention. At trial, it was undisputed that after the 10:00 p.m. phone conversation, Dr. Osler arranged for Mrs. Carey to enter

260. Id.
261. Id.
262. Id.
264. Id.
265. Carey, 622 A.2d at 1282.
267. Id. at 9. Since Dr. Osler was already at the hospital, he wanted Mrs. Carey to meet him there so that he could examine her and take the necessary steps to treat her. Mrs. Carey declined because she did not want to travel to the hospital at that time and felt that she was not ill enough to require that action. Id.
268. Id. at 9-10.
269. Carey, 622 A.2d at 1282.
West Jersey Hospital on October 11. Dr. Osler testified that he left a message with Dr. Lovett's answering service informing him of the arrangements, yet Dr. Lovett contended he never received the message.

On October 11, Mrs. Carey was admitted to the hospital at 1:00 p.m. and was examined at 2:30 p.m. by Dr. Gerard, an internist and associate of Dr. Osler's. After examining Mrs. Carey, Dr. Gerard made the diagnosis of diabetic ketoacidosis, which frequently causes intrauterine death. He also noted that she was experiencing intermittent contractions. Additionally, Dr. Gerard was unable to detect a fetal heartbeat. In order to treat the ketoacidosis, Dr. Gerard ordered blood tests, intravenous fluids and, later, the administration of insulin. The hospital called Dr. Lovett at 3:00 p.m. to report Dr. Gerard's findings. Dr. Lovett made a "tentative diagnosis of fetal demise." 

At 3:30 p.m., Mrs. Carey went into labor and was transferred to the hospital's labor and delivery area. Her labor progressed and all attempts by the attending nurses to find a fetal heartbeat were unsuccessful. During this time, nothing was done to arrest Mrs. Carey's labor. At 5:00 p.m., the nurses called Mr. Carey and Dr. Lovett to report on Mrs. Carey's condition. Dr. Lovett instructed the nurses to allow Mrs. Carey to deliver the expected stillborn and ordered them to administer pain killing drugs.

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271. Carey, 622 A.2d at 1282.
272. Id.
273. Id.
274. Id. Ketoacidosis is defined "diabetic acidosis, caused by the enhanced production of ketone bodies." STEDMAN'S MEDICAL DICTIONARY 745 (5th Unabr. Lawyers' ed. 1982). Acidosis is defined as "a condition in which the acidity of body fluids and tissues is abnormally high." BANTAM MEDICAL DICTIONARY 4 (1990).
275. Carey, 622 A.2d at 1282.
276. Id. at 1283.
277. Id.
279. Carey, 622 A.2d at 1283.
280. Id.
281. Id.
282. Id.
283. Id.
284. Id.
285. Id.
Mr. and Mrs Carey were informed by the attending obstetric nurses that there was no fetal heartbeat and that the fetus was dead. Mrs. Carey, however, insisted that the fetus was alive because she could feel it moving. She continued to maintain its viability despite the nurses’ repeated insistence that the baby was dead.

At 7:45 p.m., Mrs. Carey was injected with Pitocin to induce her labor. At 8:30 p.m., a nurse broke Mrs. Carey’s water in order to speed the delivery. At 9:06 p.m., Mrs. Carey delivered the baby in a breech position. Two nurses were the only hospital personnel present at the birth and neither assisted in the delivery, causing the baby to drop “unsupported onto the labor bed.” Although the baby was born alive, and was pink at birth, no one realized that she was alive until she was “placed on the weighing scale [and] gasped for air.” The infant was then rushed to the nursery. At this point, Dr. Lovett arrived and told Mrs. Carey that the baby was alive, but very sick.

Dr. Costarino, a perinatologist and neonatologist, was summoned to examine the baby. “When he arrived, the baby was limp and blue [and] he could not hear any heartbeat.” After connecting the baby to a resuscitator, the baby’s pink

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286. Id.
287. Id.
288. Id.
289. Id. Pitocin, a trade name for the drug oxytocin, is a “hormone, released by the pituitary gland that causes increased contraction of the womb during labor . . . .” BANTAM MEDICAL DICTIONARY 313 (1990).
290. Carey, 622 A.2d at 1283.
291. Id. The breech presentation is defined as a “position of a baby in the womb such that it is delivered buttocks first . . . . This type of delivery increases the risk of damage to the baby.” BANTAM MEDICAL DICTIONARY 57 (1990).
292. Carey, 622 A.2d at 1283.
293. Id.
294. Id. at 1284.
295. Id.
296. Id.
297. Perinatal refers to “the period from about 3 months before birth to 1 month after birth.” BANTAM MEDICAL DICTIONARY 326 (1990).
299. Carey, 622 A.2d at 1284.
300. Id.
Dr. Costarino treated the baby with drugs to counteract the pain killers that had been given to Mrs. Carey, placed the baby on a ventilator, and administered antibiotics. Later that night the baby was transferred to Children's Hospital in Philadelphia.

Two days later, "the doctors at Children's Hospital discovered that the baby was hemorrhaging from both sides of her brain." Mr. Carey was informed that the baby was severely brain damaged and was not expected to live much longer. On October 21, the baby was still alive, but in a vegetative state with no chance of improvement. The Careys decided to disconnect the baby from life support machines. The baby died that day.

Dr. Bowen, Director of Newborn Pediatrics at Pennsylvania Hospital, testified as an expert for the Careys at trial on the issue of proximate causation. In Dr. Bowen's opinion, the proximate cause of the baby's death was "prematurity complicated by perinatal asphyxia." He testified that the asphyxia was caused by "Jo Ann Carey's ketoacidosis being corrected by insulin too quickly."

Mr. and Mrs. Carey visited Dr. Janet Berson, a clinical psychologist, on December 20, 1983. Mrs. Carey complained of a recurrence of migraine headaches, from which she had suffered for ten to fifteen years, tenseness, and fits of crying. Mrs. Carey returned to work after this initial visit and saw Dr. Berson.

301. Id.
302. Id.
303. Id.
304. Id.
305. Id.
306. Id.
307. Id.
308. Id.
310. Id. Asphyxia is "a life threatening condition in which oxygen is prevented from reaching the tissues by obstruction of or damage to any part of the respiratory system. . . . Brain cells cannot live for more than about four minutes without oxygen." BANTAM MEDICAL DICTIONARY 34 (1990).
312. Carey, 622 A.2d at 1290.
313. Id.
ten times between December, 1983 and June, 1984. By May, Mrs. Carey was pregnant and Dr. Berson noted that she was “much improved.” Mr. Carey saw Dr. Berson only once after the initial visit.

B. Procedural History

Mr. and Mrs. Carey sued Drs. Lovett and Osler, among others, for alleged malpractice, which resulted in the death of their infant daughter. Their suit for malpractice against Dr. Osler was based on his alleged negligent failure to instruct Mrs. Carey to go to the hospital immediately, instead of instructing her to go to the hospital the next morning. According to the plaintiffs, Dr. Lovett was negligent in two respects: his failure to come to the hospital to examine Mrs. Carey personally and his misdiagnosis of Mrs. Carey’s condition as “fetal demise” based on Dr. Gerard’s finding no fetal heartbeat. Before and during the trial, the court granted motions to dismiss some defendants. The jury returned a verdict against Drs. Lovett and Osler. The doctors moved for judgment notwithstanding the verdict, a new trial, or remittitur. The court denied these motions and defendants appealed.

The Superior Court, Appellate Division, reversed in an unreported opinion. The court held in accordance with Frame v.
Kothari,\textsuperscript{326} that the trial court should not have considered the parents' claims as direct claims as stated in Giardina, but rather, as indirect or "bystander" claims,\textsuperscript{327} as in the Frame case.\textsuperscript{328} Relying on the Frame decision, the Appellate Division found that the Careys had no cause of action.\textsuperscript{329} The Careys appealed.\textsuperscript{330}

The New Jersey Supreme Court reversed, holding that the parents could recover for their emotional distress caused by medical malpractice in the birth and death of their daughter,\textsuperscript{331} that the charge to the jury as to the father's claim for emotional distress was erroneous,\textsuperscript{332} and that the awards were excessive.\textsuperscript{333} The case was remanded for a trial on both liability and damages.\textsuperscript{334}

C. The Carey Decision

The issue in the Carey appeal was "whether parents, without attempting to prove any physical injury to themselves, may recover for their emotional distress caused by medical malpractice resulting in the premature birth and death of their baby."\textsuperscript{335} In reviewing Carey, the New Jersey Supreme Court discussed the evolution of recovery for emotional distress claims in New Jersey.\textsuperscript{336} It acknowledged the development of two lines of cases: those where the claimant herself is injured, as evidenced by Berman v. Allan,\textsuperscript{337} and those where the claimant's emotional distress arises from injury to another,\textsuperscript{338} as in Portee

\textsuperscript{326} 560 A.2d. 675, 681 (N.J. 1989); see supra notes 157-83 and accompanying text.


\textsuperscript{328} Carey, 622 A.2d at 1281.


\textsuperscript{330} Carey, 622 A.2d at 1282.

\textsuperscript{331} Id. at 1292.

\textsuperscript{332} Id. at 1288.

\textsuperscript{333} Id. at 1292.

\textsuperscript{334} Id.

\textsuperscript{335} Id. at 1284.

\textsuperscript{336} Id. at 1284-86.

\textsuperscript{337} Id. at 1284-85; see Berman v. Allan, 404 A.2d 8, 10-11 (N.J. 1979); see also supra notes 57-69 and accompanying text for a discussion of Berman.

\textsuperscript{338} Carey, 622 A.2d at 1284-85.
v. Jaffee.\textsuperscript{339} Although injury resulting from medical misdiagnosis or malpractice may be the basis for recovery under either theory, the court noted:

[It is only] under limited circumstances that the medical misdiagnosis of one member of a family member may entitle another member to recover for his or her own emotional distress. ... [Recovery is] limited ... to the 'observation of shocking events ....'

... With medical malpractice claims, we have required that claimants observe contemporaneously the act of malpractice and the resultant injury.\textsuperscript{340}

Referring to \textit{Giardina v. Bennett}\textsuperscript{341}, the court maintained that "the experience of pregnancy and childbirth itself constitutes the immediacy and presence of the claimant in the face of inflicted personal injury or death of a loved one that was stressed in \textit{Portee}."\textsuperscript{342} These requirements in bystander cases serve to "assure the genuineness of the resulting emotional injury and mental anguish."\textsuperscript{343} The court found that because the mother and fetus are so interconnected, the mother is more than a bystander and, therefore, "an injury to the fetus could be viewed as supporting a direct parental claim for emotional distress ...."\textsuperscript{344} It concluded that the close mother-fetal relationship eliminates the need for the presence and the need to fulfill the contemporaneous observation requirements of a bystander claim for emotional distress.\textsuperscript{345} The requirement that the emotional distress be severe is "a sufficient guaranty of genuineness to substitute for physical injury to the claimant, which until now has been an element of a direct claim for emotional distress."\textsuperscript{346} Although the New Jersey Supreme Court found that the Careys had a "direct" cause of action for their emotional distress, it remanded the case for a new trial on both liability and

\textsuperscript{339} Id. at 1285; see Portee v. Jaffee, 417 A.2d 521, 527-28 (N.J. 1980); see also supra notes 41-50 and accompanying text.

\textsuperscript{340} Carey, 622 A.2d at 1285, 1286 (citations omitted).

\textsuperscript{341} 545 A.2d 139 (N.J. 1988). See supra notes 231-54 and accompanying text.

\textsuperscript{342} Id. at 1285 (quoting Giardina, 545 A.2d at 143).

\textsuperscript{343} Id. at 1285-86.

\textsuperscript{344} Id. at 1286.

\textsuperscript{345} Id. at 1287.

\textsuperscript{346} Id.
damages.\textsuperscript{347} After a review of the record, the New Jersey Supreme Court agreed with the Appellate Division that the trial court “had lost its sense of impartiality . . . and had tilted impermissibly in favor of the plaintiffs.”\textsuperscript{348} This bias was evidenced by the trial court’s constant intrusions, reprimands, and restrictions aimed against the defense.\textsuperscript{349} The New Jersey Supreme Court found that “the court removed from the jury’s consideration its determination of the nature of the parents’ emotional distress and the extent to which their distress was related to the conduct of each defendant.”\textsuperscript{350} Additionally, it found that the awards made by the jury were excessive, attributing the verdict to “prejudice, partiality or passion,” which tainted the entire verdict.\textsuperscript{351} In ordering a new trial, it noted that the absence of any evidence of psychiatric hospitalization or significant interference with the lifestyle or employment relationships of either Mr. or Mrs. Carey precluded the substantial recoveries awarded by the jury.\textsuperscript{352}

IV. Analysis

In light of the rules established in prior New Jersey case law addressing negligent infliction of emotional distress,\textsuperscript{353} the New Jersey Supreme Court’s holding in \textit{Carey v. Lovett} constitutes a substantial extension of negligence law.\textsuperscript{354} Although the holding of the case reflects application of the direct victim rule,\textsuperscript{355} the New Jersey Suprem Court ignored the traditional elements for recovery in negligence,\textsuperscript{356} did not address the issue

\begin{itemize}
\item \textsuperscript{347} \textit{Id.} at 1292.
\item \textsuperscript{348} \textit{Id.} at 1288, 1289.
\item \textsuperscript{349} \textit{Id.} at 1289.
\item \textsuperscript{350} \textit{Id.} at 1290.
\item \textsuperscript{351} \textit{Id.} at 1291.
\item \textsuperscript{352} \textit{Id.} at 1290.
\item \textsuperscript{353} \textit{See supra} part II.C.
\item \textsuperscript{354} \textit{Carey} represents a direct extension of New Jersey law in that the New Jersey Supreme Court effectively used the “direct victim” rule without the key element of foreseeability. \textit{See infra} text accompanying notes 356-57. Additionally, the New Jersey Supreme Court would have had to extend existing law even to allow the Careys to recover under a bystander theory, since it did not require the third \textit{Portee} element, observation of the injury rather than of the result. \textit{See infra} text accompanying notes 420-28.
\item \textsuperscript{355} \textit{See supra} part II.D.
\item \textsuperscript{356} The traditional elements necessary for recovery in negligence are: a duty, requiring a person to behave in a way that protects others from unreasonable risk;
of proximate cause\textsuperscript{357} or the requirement of foreseeability. The only testimony on the issue of proximate cause was offered by Dr. Bowen, the plaintiff's expert witness.\textsuperscript{358} Dr. Bowen testified that the Carey baby died from "prematurity complicated by perinatal asphyxia[ti]on," which was caused by Mrs. Carey's "ketoacidosis [having been] corrected by insulin too quickly."\textsuperscript{359} His testimony did not establish a causative link between the actions of Drs. Lovett and Osler and the death of the baby.\textsuperscript{360} Neither of them treated Mrs. Carey in the hospital nor prescribed the increased insulin dosage.\textsuperscript{361} In addition, Dr. Bowen's testimony did not show that Dr. Lovett's alleged deviation from a standard of care\textsuperscript{362} caused the prematurity or

\begin{quotation}
Defendant Lovett's App. Div. Brief, supra note 309, at 21; see also supra text accompanying note 309.
\end{quotation}

\begin{quotation}
Defendant Lovett's App. Div. Brief, supra note 309, at 21; see also supra text accompanying notes 310-11.
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\end{quotation}

\begin{quotation}
See generally Carey, 622 A.2d at 1282-84.
\end{quotation}

\begin{quotation}
The standard of care is "determined upon a risk-benefit analysis: by balancing the risk, in light of the social value of the interest threatened, and the probability and extent of the harm, against the value of the interest which the actor is seeking to protect, and the expedience of the course pursued." Prosser on Torts, supra note 24, § 32, at 173. The standard of care changes with the circumstances and the occasion so that what might be considered proper conduct in one instance could be negligence in another situation. Id. The standard of care is objective and based upon what the "reasonable man of ordinary prudence" would do
asphyxiation.\textsuperscript{363}

Looking at the evidence in a light most favorable to the plaintiff, there was a failure of proof as to the defendants liability for proximately causing the baby's death. Considering this failure of proof by the plaintiff's own expert witness, the court should have directed a verdict for the defendants.\textsuperscript{364}

Another issue that was not discussed by either the Appellate Division or the Supreme Court was Mrs. Carey's own negligence\textsuperscript{365} in failing to monitor her diabetes effectively and whether her actions proximately caused the baby's death. Mrs. Carey had suffered from diabetes since childhood,\textsuperscript{366} and knew the level of self-care that was necessary to control her diabetes, especially during pregnancy.\textsuperscript{367} She was also aware of the possibility of developing ketoacidosis, and could recognize its symptoms.\textsuperscript{368} On cross examination, Mrs. Carey admitted that she did not consistently comply with her doctor's instructions.\textsuperscript{369} The trial court did not allow Dr. Osler to admit into evidence his journal which would have shown that on two occasions, Mrs. Carey was hospitalized with ketoacidosis.\textsuperscript{370} Mrs. Carey also testified that she and Dr. Lovett discussed the fact that "diabetes carried a bad omen with pregnancy" and that close management of the disease was therefore necessary.\textsuperscript{371} Dr. Lovett delivered Mrs. Carey's first child in 1980. The child was born

\textsuperscript{363} Defendant Lovett's App. Div. Brief, supra note 309, at 22.

\textsuperscript{364} In addition, it is apparent that because of the trial judge's prejudice and excessive involvement, culpable defendants were dismissed from the case. Clearly, the conduct of all who were directly involved with Mrs. Carey's hospital treatment and delivery care was of such a nature as to have required a determination by the trier of fact.

\textsuperscript{365} New Jersey follows a 51% fault bar system, under which a plaintiff can recover if the defendant's fault is equal to or greater than the fault of the plaintiff. See N.J. STAT. ANN. 2A:15-5.1 (West 1987).


\textsuperscript{367} Id.

\textsuperscript{368} Id. at 3.

\textsuperscript{369} Id. at 6.

\textsuperscript{370} Id.

\textsuperscript{371} Id. at 4-5.
“one month prematurely and suffered from toxemia.” Mrs. Carey’s second pregnancy ended in miscarriage in March of 1983. Considering these facts, it is difficult to understand Jo Ann Carey’s failure to realize the gravity of her illness and her failure to seek medical assistance sooner. Her failure to test for ketones in her urine prior to speaking to Dr. Osler must be questioned. There was no indication that the court considered the possible damage that the baby might have suffered as a result of the ketoacidosis prior to Mrs. Carey’s hospitalization. Despite these possibly exacerbating circumstances, none of the courts discussed whether Mrs. Carey was herself negligent. Considering that the baby’s death was proximately caused in part by Mrs. Carey’s treatment for ketoacidosis, her actions should have been considered by the jury. Because the courts did not decide the case based on these causation issues, the question is whether the Supreme Court should have handled Carey as a bystander case rather than a direct injury case because there was no direct physical impact. As in Carey, the Berman v. Allan, Procanik v. Cillo and Friel v. Vineland Obstetrical & Gynecological Professional Ass’n cases dealt with recovery for the parents’ emotional distress resulting from medical malpractice and misdiagnosis. It is here that any similarity ends.

In Berman, the court found direct injury, albeit the loss of a right, caused by the doctor’s failure to perform an amniocentesis. This failure led to Mrs. Berman’s delivery of a child with Down’s syndrome, which resulted in the parents’ emotional distress. The doctor’s omission effectively precluded Mr. and Mrs. Berman from exercising their right to abort the damaged fetus and was thus deemed to be an injury

373. Id.
377. See Berman, 404 A.2d at 11, 14; Procanik, 478 A.2d at 758; Friel, 400 A.2d at 148.
378. Berman, 404 A.2d at 14; see supra text accompanying note 69.
379. Berman, 404 A.2d at 11.
inflicted directly on them. The Procanik case was basically the same as Berman, in that the Procaniks lost their right to terminate the wife's pregnancy due to the doctor's misdiagnosis of a test for German measles.

In contrast, Mr. and Mrs. Carey did not realize any actual direct injury from the birth of their seriously ill child. The alleged omissions by Drs. Osler and Lovett did not have any physical impact on the Careys. Nor did they compromise the Careys' rights as parents.

While it is alleged that Dr. Osler was negligent in failing to direct Mrs. Carey to go to the hospital on October 10, Mrs. Carey was aware that if, after performing the urine test for ketones, she observed a positive result, according to the doctor's standard instructions she was to go to the hospital. Mrs. Carey did not perform the test. The Berman case is significantly different since Mrs. Berman could not have performed her own amniocentesis and did not even know that the test existed.

The facts in Friel are similar to those in Carey. Like the plaintiff in Friel, the plaintiff in Carey was pregnant and became ill. Like Betty Friel, Jo Ann Carey went into premature labor and delivered a severely ill infant without the assistance of her obstetrician. Unlike Betty Friel's delivery, which took place with no one in attendance, Jo Ann Carey's delivery was attended by experienced delivery room nurses. The major difference between the two cases, however, was that in Friel, the mother was directly injured when her doctor misdiagnosed her condition as a bladder infection. Mrs. Friel suffered both physically and emotionally for almost two weeks as a result of

380. Id. at 14.
381. See Procanik, 478 A.2d at 758. See also supra text accompanying notes 95-96.
382. See generally Carey, 622 A.2d at 1282-84.
383. See generally id. at 1282-84, 1290.
385. See Carey, 622 A.2d at 1282.
386. See supra notes 73-85 and accompanying text.
387. See supra part III.A.
388. Friel, 400 A.2d at 148-49; Carey, 622 A.2d at 1282-83.
389. Friel, 400 A.2d at 149; Carey, 622 A.2d at 1283.
390. Friel, 400 A.2d at 149.
391. Carey, 622 A.2d at 1283.
392. Friel, 400 A.2d at 148.
her doctor's misdiagnosis. Jo Ann Carey was not physically or emotionally damaged by Dr. Lovett's failure to attend the delivery or by his alleged negligent misdiagnosis of fetal demise, since the baby was born alive.

The finding by the courts of actual direct injury in Berman, Procanik, and Friel satisfied the traditional standards for recovery for emotional distress, as opposed to Carey, where direct injury was absent and should have precluded such recovery. Additionally, it should be noted that since Berman and Friel were decided before Portee, which allowed for bystander recovery, had the respective plaintiffs not been able to prove direct injury they would have been barred from any recovery.

In Giardina v. Bennett, which was relied on by the Careys at trial, the New Jersey Supreme Court extended the law for recovery for emotional distress, but only when the case involved a stillbirth. Mr. and Mrs. Giardina sought to recover damages for the death of their baby before birth under New Jersey's Wrongful Death Act.

Mrs. Giardina was almost two weeks overdue when her doctor performed tests and informed her that there was no problem. Nine days later, when Mrs. Giardina began having contractions, believing that her symptoms were normal, the doctor refused to perform a Cesarean section. Nearly one month overdue, Mrs. Giardina entered the hospital in labor and delivered a stillborn baby.

One significant difference between Giardina and Carey is that the plaintiff in Giardina had every right to expect the normal birth of a healthy baby, whereas the plaintiff in Carey was

393. Id.
396. See Portee, 417 A.2d at 528; see also supra notes and 41-50 accompanying text.
397. 545 A.2d 139 (N.J. 1988). See supra notes 231-54 and accompanying text.
398. Giardina, 545 A.2d at 143.
399. Id. at 139; see N.J. STAT. ANN. § 2A:31-1 (West 1987).
400. Giardina, 545 A.2d at 140.
401. Id.
402. Id.
told before the birth that the baby would be stillborn.\textsuperscript{403} Thus, while Mrs. Giardina's shock was foreseeable, Mrs. Carey's claim that she was shocked was not, since her baby was not stillborn as anticipated.\textsuperscript{404} Another distinction between these two cases is that the Giardina's doctor directly caused the injury to the fetus by not performing the Cesarean section before the fetus' death.\textsuperscript{405} In Carey, neither Dr. Lovett nor Dr. Osler caused direct injury to Mrs. Carey or her baby.\textsuperscript{406}

The New Jersey Supreme Court, in \textit{Giardina}, found that "[t]he plaintiffs . . . as parents of a stillborn have suffered a cognizable injury deserving of compensation. The Wrongful Death Act, however, does not recognize this cause of action."\textsuperscript{407} The court did not allow recovery under the Act,\textsuperscript{408} but did state that:

[medical malpractice causing a stillbirth results in infliction of a direct injury to the mother as well as to her unborn child. Even without any permanent physical harm, the mother suffers severe and genuine injuries in the form of emotional distress and mental anguish occasioned by her baby's stillbirth.\textsuperscript{409}]

Hence, the \textit{Giardina} decision was specifically limited to recovery for emotional distress as a result of medical malpractice leading to a stillbirth.

In reviewing \textit{Carey}, the New Jersey Supreme Court should have affirmed the decision of the Appellate Division, which relied on the bystander rule\textsuperscript{410} for recovery,\textsuperscript{411} rather than extending its holding in \textit{Giardina}. The bystander requirements offer a much sounder approach because the principles can be readily applied to the facts of \textit{Carey} without entering a labyrinth of speculative injuries. Since there was no actual direct injury to Mr. or Mrs. Carey,\textsuperscript{412} they should have been considered bystanders.

\textsuperscript{403} See \textit{Carey}, 622 A.2d at 1284.
\textsuperscript{405} \textit{Giardina}, 545 A.2d at 140, 142.
\textsuperscript{406} \textit{Carey}, 622 A.2d at 1284.
\textsuperscript{407} \textit{Giardina}, 545 A.2d at 147.
\textsuperscript{408} \textit{Id.} at 139.
\textsuperscript{409} \textit{Id.} at 140.
\textsuperscript{410} See \textit{supra} text accompanying notes 8-9; see also \textit{supra} part II.C.
\textsuperscript{412} See generally \textit{Carey}, 622 A.2d at 1282-84.
As in *Lindenmuth v. Alperin*, 413 *Jansen v. Children's Hospital Medical Center*, 414 and *Frame v. Kothari*, 415 where parents sued for their emotional distress caused by the misdiagnosis of their children's illnesses, 416 so too, the Careys sued for emotional distress allegedly caused by Dr. Lovett's misdiagnosis and Dr. Osler's alleged failure to have Jo Ann Carey admitted into the hospital on October 10th. 417 Heretofore, in most bystander cases where the *Dillon* rule 418 is followed, the claimant has not recovered without proof of a contemporaneous observation of the injury caused by the misdiagnosis or malpractice. 419

The court in *Lindenmuth* denied recovery because the misdiagnosis could not be perceived and the plaintiff could not recover for observing the result rather than an act. 420 In *Jansen*, the court denied recovery and refused to extend the bystander rule to encompass the observation of the result of misdiagnosis rather than observation of the negligent act. 421 In *Frame*, the New Jersey Supreme Court denied recovery because the doctor's negligence in failing to tell the parents to take their son to the hospital did not result in an immediate injury to the child and his death did not occur until eleven hours later. 422 Additionally, the court noted that recovery would not be allowed without a contemporaneous observation of the injury. 423 Similarly, the Carey's emotional distress, founded on the birth of their seriously ill baby, resulted from alleged malpractice that

413. 484 A.2d 1316 (N.J. Super. Ct. Law. Div. 1984); see supra notes 110-34 and accompanying text.
414. 106 Cal. Rptr. 883 (Cal. Ct. App. 1973); see supra notes 120-27 and accompanying text.
415. 560 A.2d 675 (N.J. 1989); see supra notes 157-83 and accompanying text.
416. *Lindenmuth*, 484 A.2d at 1316; *Jansen*, 106 Cal. Rptr. at 884; *Frame*, 560 A.2d at 676.
417. See *Carey*, 622 A.2d at 1281-82.
418. See supra text accompanying notes 6-9. See also supra part II.C.
420. 484 A.2d at 1318.
421. 106 Cal. Rptr. at 885.
422. 560 A.2d at 681.
423. Id. at 678-79.
was not observable by either of them. \textsuperscript{424} Dr. Osler's alleged failure to order Mrs. Carey into the hospital preceded the birth by approximately twenty-four hours \textsuperscript{425} and the baby's eventual death \textsuperscript{426} by more than ten days. \textsuperscript{427} Similarly, Dr. Lovett's alleged misdiagnosis of fetal demise preceded the birth by six hours. \textsuperscript{428} Thus, the Carey's sought to recover for emotional distress from observing the result rather than the act of the alleged malpractice.

When compared to \textit{Justus v. Atchison} \textsuperscript{429} and \textit{Polikoff v. Calabro}, \textsuperscript{430} the Careys' claim fails as well. In \textit{Justus}, the plaintiffs were denied recovery because the court held that their shock did not result from a direct emotional impact. \textsuperscript{431} Neither father knew of his infant's death until their doctor informed them, despite being present in the delivery room and witnessing the troubled birth. \textsuperscript{432} A different circumstance existed in \textit{Polikoff}, where the mother witnessed the actual injury to her daughter and was allowed to recover for her emotional distress. \textsuperscript{433} The Careys did not know of their daughter's serious state until they were informed by the medical personnel in the hospital. \textsuperscript{434} Additionally, as the appellate division noted, the Careys were told to expect their child to be stillborn, \textsuperscript{435} and thus "the circumstances do not fall within the category of 'shocking'" as established by the New Jersey Supreme Court. \textsuperscript{436} As in \textit{Giardina}, the New Jersey Supreme Court in the Carey decision seemed to be skirting the direct victim rule. Had the court discussed the foreseeability issue it so carefully avoided, it might have been able to fashion a decision that did

\footnotesize{\textsuperscript{424} See generally Carey, 622 A.2d at 1282-84, 1286.  
\textsuperscript{425} Id. at 1282-83.  
\textsuperscript{426} Id. at 1284.  
\textsuperscript{427} Id. at 1282-84.  
\textsuperscript{428} Id. at 1283.  
\textsuperscript{429} 565 A.2d 122 (N.J. 1977); see supra notes 128-34 and accompanying text.  
\textsuperscript{430} 506 A.2d 1285 (N.J. Super. Ct. App. Div. 1986); see supra notes 136-56 and accompanying text.  
\textsuperscript{431} Justus, 565 A.2d at 135-36.  
\textsuperscript{432} Id. at 136.  
\textsuperscript{433} Polikoff, 506 A.2d at 1288.  
\textsuperscript{434} Carey, 622 A.2d at 1283.  
\textsuperscript{436} Id.}
not wreak havoc on prior case law and establish workable guidelines for future decisions.

V. Ramifications of the Carey Decision

The direct victim rule, together with the prodigal Carey expansion of the law, have serious and far reaching implications for the medical profession, courts of law, and health care recipients. In November of 1985, Drug Research Reports published the results of a 1985 survey released by the American College of Obstetricians and Gynecologists (ACOG).\(^\text{437}\) "The survey of 1,400 obstetricians/gynecologists examined what effects the threat of malpractice suits has on the practice of obstetrics and gynecology."\(^\text{438}\) Ninety percent of the doctors surveyed reported an increase in their malpractice insurance, with the average increase amounting to $9,871.\(^\text{439}\) Eighty percent of the physicians increased their fees because of increased insurance premiums.\(^\text{440}\) Two-thirds of the doctors participating in the survey reported that they increased their use of defensive medicine,\(^\text{441}\) twelve percent had stopped practicing obstetrics and fourteen percent decreased the number of deliveries they handled, all as a result of the threat of malpractice suits.\(^\text{442}\)

These facts have not gone unnoticed by the courts. In their concurring opinion in Frame, Justices Wilentz and Garibaldi voiced their concerns about expanding recovery for emotional distress, caused by medical malpractice, to family members.\(^\text{443}\)

\(^{437}\) Drug Research Reports, F-D-C Reports, Inc., Medical Liability Insurance Cost Increases Threaten Access to Obstetrics/Gynecology Services, The Blue Sheet, 28(47): 5, Nov. 20, 1985 (F-D-C Reports, Inc.), available in LEXIS, Genmed Library, F-D-C Reports File [hereinafter The Blue Sheet]. The survey was conducted by Needham, Porter, and Novelli, Inc., a Washington D.C. based survey company. Id. at 5.

\(^{438}\) Id.

\(^{439}\) Id.

\(^{440}\) Id.

\(^{441}\) Id. Defensive medicine includes the use of unnecessary Cesarean sections, excessive diagnostic tests, and refusal of patients who are uninsured or have only Medicaid coverage. Randall R. Bovbjerg et al., Obstetrics and Malpractice; Evidence on the Performance of a Selective No-Fault System, JAMA, June 5, 1991, at 1.

\(^{442}\) The Blue Sheet, supra note 437, at 5.

\(^{443}\) Frame, 560 A.2d at 682 (Wilentz & Garibaldi, JJ., concurring).
Changes in the law must be preceded "by a balancing of social interests, and estimate of social values."

... We suspect that the cost to society of expanding medical malpractice liability to allow a family member to recover for his or her emotional distress as a result of a physician's improper diagnosis will outweigh the benefits to society. Possible costs to society include the increasing number of physicians who refuse to practice in certain fields, the cost, in all fields, of an increase in "defensive medicine," and the increasing cost of medical treatment itself.\textsuperscript{444}

The Connecticut Supreme Court, which follows the \textit{Dillon} rule,\textsuperscript{445} has refused to apply it to medical malpractice cases.\textsuperscript{446} Among other reasons, the court is concerned that "the detrimental consequences to the community are far too great compared to 'the benefit a few hypersensitive individuals would be likely to derive . . . .'"\textsuperscript{447}

Even the California Supreme Court, which developed the direct victim rule, has backtracked from its holding in \textit{Molien v. Kaiser Foundation Hospital},\textsuperscript{448} the first direct victim case. Its initial rule that a person was a direct victim if their emotional distress was a reasonably foreseeable consequence of the defendant's conduct,\textsuperscript{449} cast a wide net.

The California Supreme Court seemed to totally retreat from the direct victim rule when it reviewed \textit{Thing v. La Chusa}.\textsuperscript{450} However, in 1992, the court once again embraced direct victim recovery in \textit{Burgess v. Superior Court}.\textsuperscript{451} In that decision, the court specifically noted the continuing confusion about application of the direct victim rule in the lower courts.\textsuperscript{452}

\textsuperscript{444} Id. (Wilentz & Garibaldi, JJ., concurring) (citations omitted).
\textsuperscript{445} See supra text accompanying 8-9.
\textsuperscript{447} Id. at 621 (quoting Maloney, 545 A.2d at 1064).
\textsuperscript{448} 616 P.2d 813 (Cal. 1980). See supra notes 185-201 and accompanying text.
\textsuperscript{449} See \textit{Thing v. La Chusa}, 771 P.2d 814, 823 (Cal. 1989). See also supra text accompanying note 201.
\textsuperscript{450} 771 P.2d 814 (Cal. 1989). See supra notes 202-10 and accompanying text.
\textsuperscript{451} 831 P.2d 1197 (Cal. 1992). See supra notes 211-30 and accompanying text.
\textsuperscript{452} \textit{Burgess}, 831 P.2d at 1199 & n.5.
It stated that proof of traditional negligence elements are required for recovery for negligent infliction of emotional distress, and also held that the duty owed to the plaintiff by the defendant had to arise out of an existing relationship between the two. Unlike the New Jersey Supreme Court in Carey, the California Supreme Court in Burgess offered an in depth explanation and analysis of recovery under this rule. Additionally, unlike the Carey court, the Burgess court acknowledged the impact of their decision on the medical malpractice insurance crisis.

VI. Conclusion

Rather than clarifying the standards for recovery in New Jersey cases involving negligent infliction of emotional distress and alleviating future inconsistencies, the Carey court has created more confusion. When other states are weighing the effects of expanded liability on medical providers and recipients and reverting to stricter standards, the New Jersey Supreme Court is expanding the law without such consideration. It appears that the New Jersey appellate court had a better grasp of the facts of Carey, the standards used for awarding damages for negligent infliction of emotional distress, and the great social implications for the practice of gynecology in New Jersey than did the New Jersey Supreme Court. In its zeal to protect the interests of those with perceived injuries, the New Jersey Supreme Court has framed a rule which attempts to insure what even medical science can not guarantee.

Fredda Fixler-Fuchs*

453. Id. at 1200. See also supra text accompanying note 223.
454. Burgess, 831 P.2d at 1201. See also supra text accompanying note 226.
455. Burgess, 831 P.2d at 1207. The court noted that “[t]he problem of professional liability adversely affects the delivery of obstetrical services, especially to disadvantaged women, those living in rural areas, and those with high risk pregnancies.” Id. They went on to note that the California Legislature had taken steps to “alleviate the ‘crisis’ in medical malpractice liability” by limiting the recovery of noneconomic damages to $250,000 and the statute of limitations for medical malpractice claims brought by adults to three years from the date of injury or one year after discovery of the injury. Id. at 1208.

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